

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Dayton, Ohio

Docket No. 9625

Application of:

HADDAD, S. F.

Group Art Unit: 2161

Serial No. 09/990,411

Examiner: AL HASHEMI, SANA

A.

Filed: November 16, 2001

For: **SYSTEM AND METHOD FOR IMPLEMENTING PRIVACY  
PREFERENCES AND RULES WITHIN AN E-BUSINESS DATA  
WAREHOUSE**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

**REMARKS**

Submitted herewith is an Information Disclosure Statement including the following two references: (1) E-BUSINESS TERADATA @ACTIVE WAREHOUSE, INSTALLATION AND CUSTOMIZATION GUIDE, RELEASE 3.0, dated January 2001; (2) E-BUSINESS TERADATA @ACTIVE WAREHOUSE 2.0, INSTALLATION AND CUSTOMIZATION GUIDE, dated June 2000; and (3) PR Newswire "NCR Expands E-Business Data Warehouse Offer", press release, 25 September 2000.

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CERTIFICATION OF MAILING UNDER 37 CFR 1.8

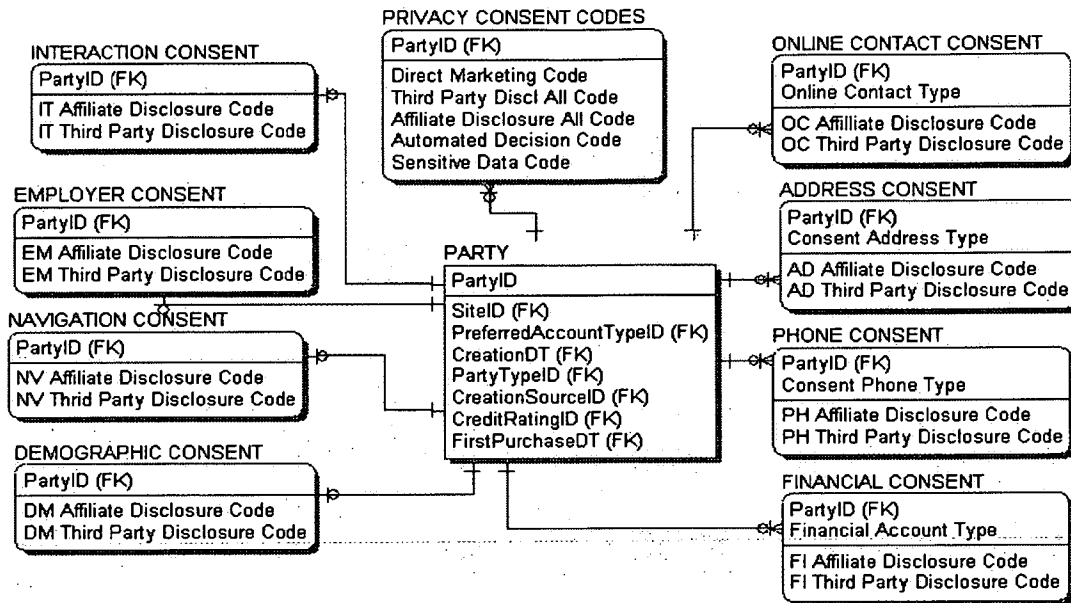
I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on 2-28-2005.

By: Sallie Spicer  
Name: Sallie Spicer

The E-BUSINESS TERADATA @ACTIVE WAREHOUSE 2.0, INSTALLATION AND CUSTOMIZATION GUIDE, dated June 2000, and the September 25, 2000 press release was cited during prosecution of a related patent application, Application Serial No. 09/992,571, entitled "SYSTEM AND METHOD FOR CAPTURING AND STORING INFORMATION CONCERNING OPERATIONAL METRICS OF A BUSINESS WEB SERVER AND WEB SITE"; filed on November 16, 2001 by Scott D. Carty, Sylvie F. Haddad, and Tucker Smith; NCR Docket Number 9626.

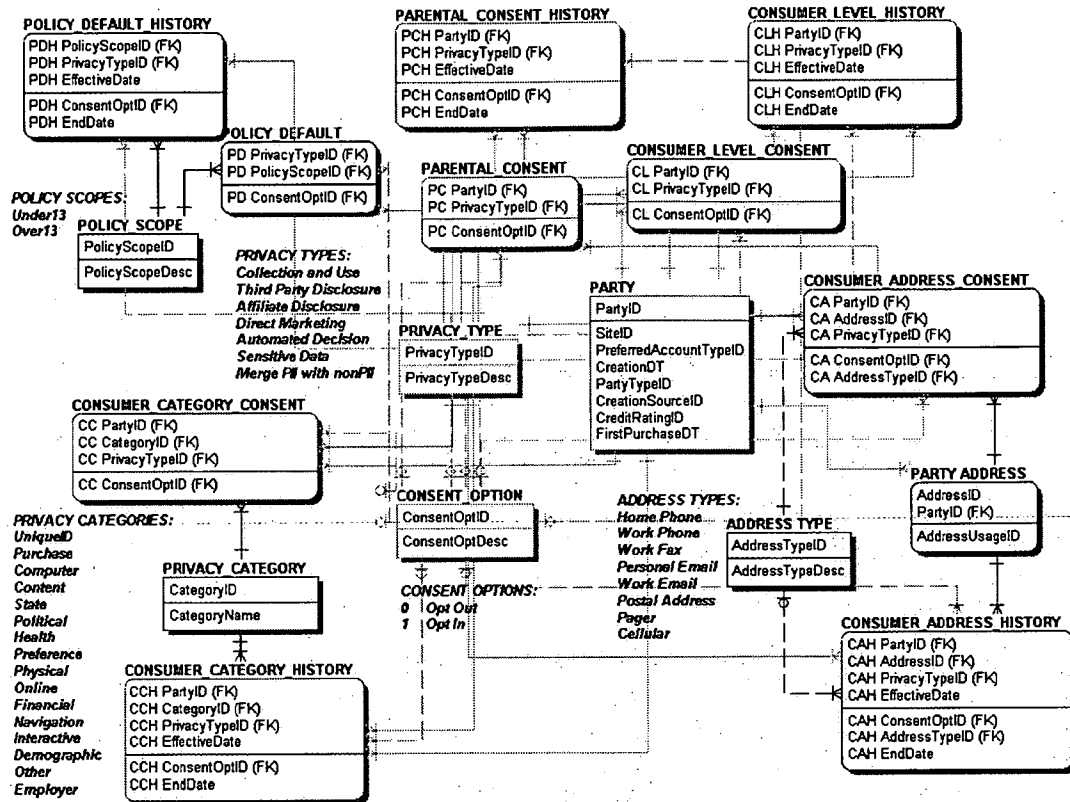
The 2.0 and 3.0 releases of the E-Business Teradata @ctive Warehouse were NCR Corporation confidential information products published for internal NCR Corporation use only. The intended audience for these documents was NCR Professional Services personnel for use in planning and executing customer engagements in the field. The documents are identified as confidential in the preface to the guides. The 2.0 and 3.0 releases of the E-Business Teradata @ctive Warehouse are provided not as publicly disclosed publications, but to illustrate the form and structure of the E-Business Teradata @ctive Warehouse, the E-Business logical data model, and more particularly the privacy subject area and privacy features as of June 2000 and January 2001, respectively.

Figure 1, immediately below, shows the design of the privacy subject area as illustrated and described in both the 2.0 and 3.0 releases of the E-Business Teradata @ctive Warehouse Installation and Customization Guide.



### 1. Privacy Subject Area in EBTAW 2.0 & 3.0

Figure 2, provided below, shows the design of the privacy subject area as illustrated and described in the present patent application. The privacy subject area, as shown above in Figure 2, is illustrated in Figures 11A through 11F of the present application.



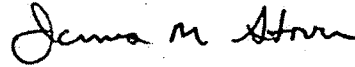
## 2. Privacy Subject Area in Application SN 09/990,441

Figure 2 above illustrates a privacy subject area which is significantly different from the privacy subject area illustrated and described in the 2.0 and 3.0 releases of the E-Business Teradata @ctive Warehouse Installation and Customization Guide.

The claims of the present application are directed to privacy features of the E-Business Teradata @ctive Warehouse that are not contained in the 2.0 and 3.0 releases of the E-Business Teradata @ctive Warehouse. The privacy features described and claimed in the present application were not publicly disclosed or included in a product sold or offered for sale prior to the filing date of the present application.

In view of the foregoing remarks, it is believed that the present application remains in condition for allowance. Early and favorable action is respectfully requested.

Respectfully submitted,



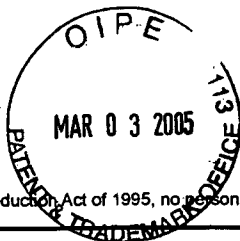
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**INFORMATION DISCLOSURE  
STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

**Complete if Known**

Application Number	09/990,411
Filing Date	November 16, 2001
First Named Inventor	HADDAD, S. F.
Art Unit	2161
Examiner Name	AL HASHEMI, SANA A.
Attorney Docket Number	9625

Sheet 1 of 1

**NON PATENT LITERATURE DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>2</sup>
/S.A./		E-BUSINESS TERADATA @ACTIVE WAREHOUSE, INSTALLATION AND CUSTOMIZATION GUIDE, RELEASE 3.0, January 2001	
/S.A./		E-BUSINESS TERADATA @ACTIVE WAREHOUSE 2.0, INSTALLATION AND CUSTOMIZATION GUIDE, June 2000	
/S.A./		PR Newswire "NCR Expands E-Business Data Warehouse Offer", press release, 25 September 2000	

Examiner  
Signature

/Sana Al Hashemi/

Date  
Considered

07/17/2008

\*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.97 and 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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